## **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

BUSINESS PROCESS FRAMEWORK FOR REINSURANCE

Application Number:

09/675380

Confirmation Number:

1411

First Named Applicant:

Peter Weber

Attorney Docket Number:

5053-28301

Art Unit:

2161

Examiner:

Unknown Unknown

Search string:

(5806042).pn

#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Cite.No. | Patent No. | Date       | Patentee     | Kind | Class | Subclass |
|------|----------|------------|------------|--------------|------|-------|----------|
| Das  |          | 5806042    | 1998-09-08 | Kelly et al. |      |       |          |

# **Signature**

| Examiner Name  | Date       |
|----------------|------------|
| Biles SChandle | 08/14/2007 |
|                |            |

|   | Application Number        |       | 09675380     |  |  |
|---|---------------------------|-------|--------------|--|--|
| INFORMATION BIOOLOGUES  | Filing Date               |       | 2000-09-29   |  |  |
| INFORMATION DISCLOSURE  | First Named Inventor Webe |       | ber, et al.  |  |  |
| STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Art Unit                  |       | 3626         |  |  |
| (Not for submission under 57 Of K 1.33)                       | Examiner Name             | C Bl€ | D. Cobanoglu |  |  |
|   | Attorney Docket Numb      | er    | 5053-28301   |  |  |

|  |            |  |                              |                 | U.S.F                     | PATENTS                 |   |                | Remove  |             |            |
|--|------------|--|------------------------------|-----------------|---------------------------|-------------------------|---|----------------|---|-------------|------------|
| Examiner<br>Initial*                       | Cite<br>No | Patent Number  | Kind<br>Code <sup>1</sup>    | Issue D         | ate                       | of sited Document       |   | Releva         | es,Columns,Lines whe<br>evant Passages or Rel<br>ures Appear  |             |            |
| /DC/                                       | 1          | 6405360  |                              | 2002-11         | -06                       | Bohrer et al.           |   |                |   |             |            |
| If you wisl                                | n to ac    | ld additional U.S. Pater   | t citatio                    | n informa       | ation pl                  | ease click the          | Add button.                                       |                | Add   |             |            |
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| Examiner<br>Initial*                       | Cite<br>No | Publication Number   | Kind<br>Code <sup>1</sup>    | Publica<br>Date | tion                      | of cited Document Relev |   | Releva         | les,Columns,Lines who<br>evant Passages or Rel<br>ures Appear |             |            |
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| Examiner<br>Initial*                       | Cite<br>No | Foreign Document<br>Number <sup>3</sup>                                  | Country<br>Code <sup>2</sup> |                 | Kind<br>Code <sup>4</sup> | Publication<br>Date     | Name of Patente<br>Applicant of cited<br>Document | e or<br>V<br>F | here Rel  | or Relevant | <b>T</b> 5 |
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| Examiner<br>Initials*                      | Cite<br>No | Include name of the all (book, magazine, journ publisher, city and/or of | nal, seri                    | al, symp        | osium,                    | catalog, etc), o        |   |                |   |             | <b>T</b> 5 |

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

| Application Number        |    | 09675380   |
|---------------------------|----|------------|
| Filing Date               |    | 2000-09-29 |
| First Named Inventor Webe |    | r, et al.  |
| Art Unit                  |    | 3626       |
| Examiner Name C. Ble      |    | eck        |
| Attorney Docket Numb      | er | 5053-28301 |

| /DC/       | 1                              | Krieger, David and Richard M. Adler, "The Emergence of Distributed Component Platforms," IEEE, March 1998, pp.43-53  |        |  |  |  |  |  |  |
|------------|--------------------------------|--|--------|--|--|--|--|--|--|
| /DC/       | 2                              | Daskalopulu and Sergot, A Constraint Driven System for Contract Assembly, Proceedings of the 5th International Conference on Artificial Intelligence and Law, pp. 62-70, ISBN 0-89791-758-8, 1995  |        |  |  |  |  |  |  |
| /DC/       | 3                              | Lauritsen, Knowing Documents, Proceedings of the 4th International Conference on Artificial Intelligence and Law, pp. 184-191, ISBN 0-89791-606-9, 1993  |        |  |  |  |  |  |  |
| /DC/       | 4                              | American International Group (AIG), 1997 Form 10-K, SEC Accession No. 0000950123-97-002720, 3/28/97, p. 1-5; l8-49.  |        |  |  |  |  |  |  |
| If you wis | h to a                         | additional non-patent literature document citation information please click the Add button Add   |        |  |  |  |  |  |  |
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| Examiner   | Signa                          | re /Dilek Cobanoglu/ (08/14/2007) Date Considered  |        |  |  |  |  |  |  |
|            |                                | al if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a conformance and not considered. Include copy of this form with next communication to applicant.   |        |  |  |  |  |  |  |
| Standard S | T.3). <sup>3</sup> f<br>cument | JSPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WI repairs Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark islation is attached. | ument. |  |  |  |  |  |  |

Form PTO-1449 (modified) ATTY. DKT. NO. 5053-28301 SERIAL NO. 09/675,380 List of Patents and Publications For Applicant's Informations
Disclosure Statement PPLICANT: Weber et al. GROUP: 2161 Disclosure Statement (Use several sheets if necessal FILING DATE: September 29, 2000 S. PATENT DOCUMENTS EXAM. REF. DOCUMENT NUMBER NAME CLASS **SUB** FILING DATE IF DATE APPROPRIATE **INITIALS** DES. **CLASS A1** 6,049,773 04/11/2000 McCormack et al. DBC A2 5,191,522 03/02/1993 Bosco et al. **A3** 02/16/1999 Underwood et al. Technology Center 2100 5,873,066 **A4** 5,950,169 09/07/1999 Borghesi et al. DBC FOREIGN PATENT DOCUMENTS TRANSLATION EXAM. REF. **DOCUMENT NUMBER** DATE **COUNTRY** CLASS **SUB** YES/NO INITIALS DES. **CLASS** OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) U.S. Application Serial No. 09/675,379 **A5** 

**EXAMINER:** 

**A6** 

Dilek & Clangle

U.S. Application Serial No. 09/676,018

DATE CONSIDERED: 08//4/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.:

09/675,380

September 29, 2000 Filed:

Inventor(s):

Weber, et al.

Title:

**BUSINESS PROCESS** 

FRAMEWORK FOR

REINSURANCE

Examiner:

Unknown

Group/Art Unit:

2161

Atty. Dkt. No:

5053-28301

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8 DATE OF DEPOSIT: 10-14-03

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:

Commissioner for Patents

§ §

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It is respectfully requested that this Information Disclosure Statement be entered and the record. Copies of the listed documents are enclosed for the convenient.

Applicant respectfully requests that the Examiner also consider the following of Patent

GROUP 3600:

Weber et al. 09/675,380

OT PETCS OF

US Patent Application 09/603,308 (5053-27900);

US Patent Application 09/603,144 (5053-28000);

US Patent Application 09/602,687 (5053-28100);

US Patent Application 09/603,662 (5053-27800);

Technology Center 2600/

US Patent Application 09/603,302 (5053-35700)\* - Claims Only, Specification

and Figures are similar to US Patent Application 09/603,662 (5053-27800);

US Patent Application 09/602,691 (5053-35800)\* - Claims Only, Specification

and Figures are similar to US Patent Application 09/603,662 (5053-27800);

US Patent Application 09/603,130 (5053-35900);

US Patent Application 09/603,303 (5053-36000);

US Patent Application 09/603,304 (5053-36100);

US Patent Application 09/603,306 (5053-36200);

US Patent Application 10/285,292 (5053-57800);

US Patent Application 09/285,289 (5053-57801)\* - Claims Only, Specification

and Figures are similar to US Patent Application 10/285,292 (5053-57800);

US Patent Application 10/285,339 (5053-57802)\* - Claims Only, Specification

and Figures are similar to US Patent Application 10/285,292 (5053-57800);

US Patent Application 10/285,375 (5053-57803)\* - Claims Only, Specification

and Figures are similar to US Patent Application 10/285,292 (5053-57800);

US Patent Application 10/285,338 (5053-57804)\* - Claims Only, Specification

and Figures are similar to US Patent Application 10/285,292 (5053-57800);

Weber et al. 09/675,380

Patent Application 10/285,293 (5053-57805)\* - Claims Only, Specification

and Figures are similar to US Patent Application 10/285,292 (5053-57800);

US Patent Application 10/422,632 (5053-63000);

US Patent Application 10/422,450 (5053-63100)\* - Claims Only, Specification

and Figures are similar to US Patent Application 10/422,632 (5053-63000);

Should any fees be required, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5053-28301/EBM.

Respectfully submitted,

Mark R. Deluca

Reg. No. 44,649

Agent for Applicant(s)

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Date: 10/13/03



## **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

**BUSINESS PROCESS FRAMEWORK FOR** REINSURANCE

Application Number:

09/675380

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Confirmation Number:

1411

First Named Applicant:

Peter Weber Attorney Docket Number: 5053-28301

Art Unit:

2161

Examiner:

Unknown Unknown

Search string:

(5806042).pn.

#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Cite.No. | Patent No. | Date       | Patentee     | Kind | Class | Subclass |
|------|----------|------------|------------|--------------|------|-------|----------|
| DEC  | - 1      | 5806042    | 1998-09-08 | Kelly et al. |      |       |          |

## Signature

| Examiner Name   | Date      |
|-----------------|-----------|
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**GROUP 3600** 

JUN 2 5 2004

Form PTO-1449 (modified)
List of Patents and Publications
For Applicant's Information

Disclosure Statement

ATTY. DKT. NO. 5053-28301

SERIAL NO. 09/675,380

APPLICANT: Weber, et al.

GROUP: 2161

(Use several sheets if necessary) FILING DATE: September 29, 2000

|     | (030     | 364614       | rances ir necessary)  | TILLING DA         | TL. September 29, 2000        | _l         |                      |                           |  |
|-----|----------|--------------|---|--------------------|-------------------------------|------------|----------------------|---------------------------|--|
|     |          |              | U   | S. PATENT          | DOCUMENTS                     |            |                      |                           |  |
|     |          | REF.<br>DES. | DOCUMENT NUMBER   | DATE               | NAME                          | CLASS      | SUB<br>CLASS         | FILING DATE<br>APPROPRIAT |  |
|     |          |              |   |                    |                               |            |                      |                           |  |
|     |          |              |   |                    |                               |            |                      |                           |  |
|     |          |              | OTHER ART (In   | cluding Autho      | or, Title, Date, Pertinent Pa | ges, Etc.  | )                    |                           |  |
| Do  |          | G1           | Continuum Connections, \  | Vol. I, No. 1, The | e Continuum Company, Inc.,    | Novemb     | er 1 <u>9</u> 91, 16 | pages.                    |  |
| ſ   |          | G2           | Continuum Connections, \  | Vol. I, No. 2, The | e Continuum Company, Inc.,    | Jan./Feb   | o. 1992, 17 p        | oages.                    |  |
| Ç   | <u>.</u> | G3           | Continuum Connections, \  | Vol. I, No. 3, The | e Continuum Company, Inc.,    | March/     | April 1992,          | 16 pages.                 |  |
|     |          |              |   |                    | e Continuum Company, Inc.,    | . ,.       | <u> </u>             | 1 0                       |  |
|     |          | G5           | Continuum Connections,  | Vol. II, No. 1, Th | ne Continuum Company, Inc     | ., Oct./N  | ov. 1992, 16         | pages.                    |  |
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|     |          | G7           | Continuum Connections, \  | Vol. II, No. 3, Th | ne Continuum Company, Inc     | ., March/  | 'April 1993          | , 16 pages.               |  |
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|     |          |              |   |                    | he Continuum Company, Inc     |            |                      |                           |  |
|     |          |              |   |                    | he Continuum Company, Inc     |            | •                    |                           |  |
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| ذ ، |          |              | Continuum Connections to the Americas, Vol. 2, No. 1, The Continuum Company, Inc., Jan. 1996, 59 pages. |                    |                               |            |                      |                           |  |
|     |          |              | 59 pages.   |                    | Vol. 2, No. 2, The Continuum  |            |                      |                           |  |
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| 80  | - /      | G20          | Continuum Connections to  | the Americas,      | Vol. 2, No. 5, The Continuum  | n Compa    | ny, Inc., Se         | pt. 1996, 59              |  |

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Dileb Stobaroglin

DATE CONSIDERED:

08/14/2007

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Best Available rest Disclosure Statement--PTO 1449 (modified)

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ATY. DKT. NO. 5053-28301

SERIAL NO. 09/675,380

List of Patents and Publication For Applicant's Information

APPLICANT: Weber, et al.

GROUP: 2161

Disclosure Statement (Use several sheets if necessary)

FILING DATE: September 29, 2000

#### U.S. PATENT DOCUMENTS FILING DATE EXAM. REF. DOCUMENT NUMBER DATE CLASS **SUB** NAME APPROPRIAT INITIALS DES **CLASS** OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) G21 Connections to the Americas, Vol. 3, No. 1, CSC Continuum, January 1997, 55 pages. DAC Connections to the Americas, Vol. 3, No. 2, CSC Continuum, February 1997, 55 pages. Connections to the Americas, Vol. 3, No. 3, CSC Continuum, March 1997, 48 pages. G23 Connections to the Americas, Vol. 3, No. 4, CSC Continuum, April 1997, 40 pages. ļ G24 Connections to the Americas, Vol. 3, No. 5, Computer Sciences Corporation, May/June 1997, 66 pages G25 Connections to the Americas, Vol. 3, No. 6, Computer Sciences Corporation, July/August 1997, 56 **G26** Connections to the Americas, Vol. 3, No. 7, Computer Sciences Corporation, September/October G27 1997, 76 pages. Connections to the Americas, Vol. 4, No. 1, Computer Sciences Corporation, January 1998, 64 pages. Connections to the Americas, Vol. 4, No. 2, Computer Sciences Corporation, February/March 1998, 50 Connections to the Americas, Vol. 4, No. 3, Computer Sciences Corporation, May/June 1998, 48 pages G30 Connections to the Americas, Vol. 4, No. 4, Computer Sciences Corporation, September/October 1998, 62 pages. Insurance Connections, Computer Sciences Corporation, February/March 1999, 52 pages. Banking Connections, Computer Sciences Corporation, April/May 1999, 44 pages. G33 Insurance Connections, Computer Sciences Corporation, June/July 1999, 56 pages. G34 Banking Connections, Computer Sciences Corporation, August/September 1999, 52 pages. G35 Insurance Connections, Computer Sciences Corporation, October/November 1999, 56 pages. G36 Cost Containment: Products and Solutions for the Property and Casualty Insurance Industry, Computer Sciences Corporation, October 1999, 40 pages. Banking Connections, Computer Sciences Corporation, December 1999, 48 pages. G39 Insurance Connections, Computer Sciences Corporation, February/March 2000, 60 pages. Banking Connections, Computer Sciences Corporation, April/May 2000, 48 pages. G40 Insurance Connections, Computer Sciences Corporation, June/July 2000, 43 pages.

**EXAMINER:** 

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Insurance Connections, Computer Sciences Corporation, September/October 2000, 43 pages.

Anormation Disclosure Statement--PTO 1449 (modified)

Form PTO-1449 (modified ATTY: DKT. NO. 5053-28301 SERIAL NO. 09/675,380 List of Patents and Publication APPLICANT: Weber, et al. For Applicant's Information **GROUP: 2161** Disclosure Statement (Use several sheets if necessary) FILING DATE: September 29, 2000 U.S. PATENT DOCUMENTS EXAM. REF. **DOCUMENT NUMBER** DATE FILING DATE NAME CLASS **SUB** APPROPRIAT **INITIALS** DES. **CLASS** OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Banking Connections, Computer Sciences Corporation, November/December 2000, 48 pages. International search report application number PCT/US 00/26695 mailed May 19, 2003.

> RECEIVED JUN 2 9 2004 **GROUP 3600**

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DATE CONSIDERED: 08/14/2007

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Form PTO-1449 (modified) ATTYEIDKT. NO. 5053-28301 List of Patents and Publications SERIAL NO. 09/675,380 LICANT: Weber, et al. GROUP: 2161 Disclosure Statement (Use several sheets if necessary) FILING DATE: September 29, 2000 **U.S. PATENT DOCUMENTS** EXAM. REF. DOCUMENT NUMBER DATE FILING DATE NAME **CLASS** SUB APPROPRIAT INITIALS DES. **CLASS** FOREIGN PATENT DOCUMENTS EXAM. **DOCUMENT NUMBER** REF. DATE COUNTRY **TRANSLATIO** CLASS **SUB** YES/NO **INITIALS** DES. **CLASS** 0 280 773 **E1** 09/1988 posc EP E2 0 465 018 01/1992 DBC EP E3 0 926 608 06/1999 Dec EP OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) @ Fault A Commitment to Consistency," Computer Sciences Corporation, Copyright 2000, pp. 1-2. F1 nec F2 "Banking Flows Onto Net, Two Austin Software Companies Poised for Boom in Online Service; Ladendorf, Kirk; December 14, 1998; pp. 1-5; Austin American Statesman. F3 "High-Performance Communication Networks"; Jean Walrand and Pravin Varaiya; 1996; pp. 361-369; Morgan Kaufmann Publishers. 'InsWeb Teams Up with Online Resources; Deal brings online insurance Marketplace to hundreds of financial institutions"; Dec. 2, 1998; pp. 1-3; Business Wire. "Reliance Group providing On-Line Access to Workers' Comp, Auto, Surety, Professional Liability Coverages; E-F5 Commerce to Exceed \$100 Million in 1999"; Dec. 7, 1998; pp. 1-3; Business Wire "Time and Billing Gets Webbed"; Antoinette, Alexander; June 1, 2000; pp. 46-50; Accounting Technology, vol. 16 F6 Will your Business Model Float?"; Baatz, E.B.; Webmaster Magazine; October 1996; **F7** http://www.cio.com/archive/webbusiness/100196 float content.html. Borland, Russel; "Running Microsoft Outlook 97," Microsoft Press, 1997. F8 Howarth, "Outsourcing: Technology on Tap," Business Review Weekly, December 1999, pp. 1-5. F9 The OTC Revolution"; Juhl, Randy; March 3, 1997; Drug Topics 141 (5). F10 Mead, Jay, "Measuring the Value Added by Technical Documentation" Technical Communication F11 1998, V. 45, N. 3. Medisoft Insurance Claims Software Website. May 10, 2000. [Retrieved on January 10, 2003] Retrieved F12 URL: <a href="http://web.archive.org/web/20000510094549/http://www.medisoft.com/">http://www.medisoft.com/</a> Merlin, Jr., William F., "Collision Course With the Colossus Program: How To Deal With the F13 Group, May 2000, Tampa, FL, pp.1-17.

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Form PTO-1449 (modified) ATTY. DKT. NO. 5053-28301 SERIAL NO. 09/675,380 List of Patents and Publications E APPLICANT: Weber, et al. · For Applicant's Information **GROUP: 2161** Disclosure Statement (Use several sheets if necessary) [ 17 2 ELING DATE: September 29, 2000 ATENT DOCUMENTS DOCUMENT NUMBER EXAM. REF. DATE NAME **CLASS** FILING DATE SUB **APPROPRIAT** INITIALS DES. **CLASS** OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Merlin, Jr., William F., "Overcoming Allstate's Trade Secrets and Work-Product Objections," The Merlin Law F14 DEC Group, May 2000, Tampa, FL, pp.1-31. Microsoft Corporation, "Holding State in Objects with Microsoft Transaction Server," June 1997, pp. 1-3. F15 Microsoft Corporation, "Microsoft Component Services, Server Operating System, A Technology Overview," August F16 15, 1998, pp. 1-7. Microsoft Press Computer Dictionary Second Edition, Microsoft Press, 1994, pp. 26-27, 117, 277, 335, 338, 353, F17 366-367, and 383 Scopus and Entrust Technologies to Deliver World's First Secure Customer Care Solution for the Financial Services Market; PR Newswire dated November 5, 1997. Utzschneider, "Microsoft Transaction Server and Internet Information Server: Technology for the Web," February 6, 1998, pp. 1-6. Merlin, Jr., William F., "Colossus: What We Know Today," The Merlin Law Group, August 2000, Tampa, FL, pp. F20

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https://www.foremost.com/secure/fm claims.htm 1996

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## **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18
Stylesheet Version v18.0

## Title of Invention

### **Business Process Framework For Reinsurance**

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OCT 1 7 2003

GROUP 3600

Application Number:

09/675380

Confirmation Number:

1411

First Named Applicant:

Peter Weber

Attorney Docket Number: 5053-28301

5050 00004

Art Unit:

2161

Examiner:

Unknown Unknown

Search string:

( 4553206 or 4812966 or 4831526 or 4837693 or 4878167 or 4975840 or 4987538 or 4992972 or 5093911 or 5099422 or 5155806 or 5157768 or 5191522 or 5201044 or 5233513 or 5241671 or 5287448 or 5307262 or 5307265 or 5386566

or 5394555 or 5432904 or 5434994 or 5446653 or 5455947 or 5471575 or 5481667 or 5483632

or 5499330 or 5504674 or 5504675 or 5517405 or 5523942 or 5535323 or 5537315 or 5550734

or 5550976 or 5557515 or 5586310 or 5613072 or 5638508 or 5644778 or 5652842 or 5655085 or 5673402 or 5689706 or 5704044 or 5717913

or 5732221 or 5732397 or 5745901 or 5748953 or 5768505 or 5768506 or 5768578 or 5797134

or 5832481 or 5832530 or 5835897 or 5835914 or 5850442 or 5870711 or 5873066 or 5884274

or 5895461 or 5899998 or 5903873 or 5907848

or 5909683 or 5913198 or 5915241 or 5918208 or 5930759 or 5933816 or 5937189 or 5950169

or 5950196 or 5956691 or 5970464 or 5987434

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